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JC978 U.S. PTO
09/832254
04/09/01**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 005580.P001
(maximum 12 characters)**First Named Inventor** James Y. Liu, et al.**Title:** METHOD AND SYSTEM FOR SCANNING ELECTRONIC MAIL TO DETECT AND ELIMINATE COMPUTER VIRUSES USING A GROUP OF EMAIL-SCANNING SERVERS AND A RECIPIENT'S EMAIL GATEWAY**Express Mail Label No.** EL627469831US**ADDRESS TO:** Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form** (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status.** (37 CFR 1.27)
3. **Specification** (**Total Pages** 47)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s)** (35 USC 113) (**Total Sheets** 11)
5. **Oath or Declaration** (**Total Pages** 5)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)
and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet.** (37 CFR 1.76)
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on
i. CD-ROM or CD-R (2 copies), or
ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
- b. Copies of IDS Citations
13. **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. Other: Copy of Postcard w/Express Mail Stamp.

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: _____ Examiner _____ Group Art Unit _____
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the
assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment
recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of
which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)

Correspondence Address Below

NAME Bradley J. Bereznak

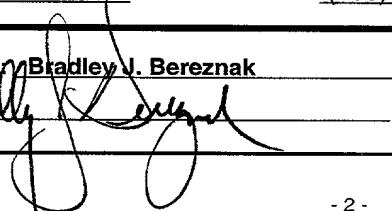
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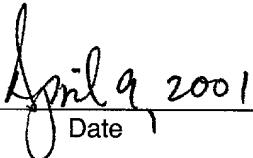
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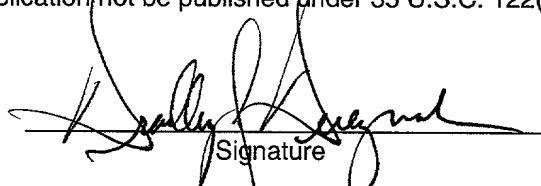
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Name (PRINT/TYPE) Bradley J. Bereznak Registration No. 33,474
Signature:  Date: April 9, 2001

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)First Named Inventor James Y. Liu, et al.Title METHOD AND SYSTEM FOR SCANNING ELECTRONIC MAIL TO DETECT AND ELIMINATE COMPUTER VIRUSES USING A GROUP OF EMAIL-SCANNING SERVERS AND A RECIPIENT'S EMAIL GATEWAYAttorney Docket No. 005580.P001

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).



April 9, 2001
Date

Signature

Bradley J. Bereznak
Typed or Printed Name

33,474
Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL627469831US

Date of Deposit: April 9, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Geneva Walls

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

Geneva Walls

(Date signed)

Serial/Patent No.: *** Filing/Issue Date: Herewith
Client: Callantry Technologies, Inc. Atty/Secty Initials: BJB/DNT/gw
Title: METHOD AND SYSTEM FOR SCANNING ELECTRONIC MAIL TO DETECT AND
ELIMINATE COMPUTER VIRUSES USING A GROUP OF EMAIL-SCANNING SERVERS
AND A RECIPIENT'S EMAIL GATEWAY Docket Due Date: ***
BSTZ File No. 005580-0001
Date Mailed: April 9, 2001

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (pgs.) Express Mail No.: EL627469831US Check No. 42075
 Appeal Brief (pgs.) (in triplicate) _____ Month(s) Extension of Time Amt: \$937.00
 Application - Utility (47 pgs., with cover and abstract) Information Disclosure Statement & PTO-149 (pgs.) Check No. 42076
 Application - Rule 1.53(b) Continuation (pgs.) Issue Fee Transmittal Amt: \$40.00
 Application - Rule 1.53(b) Divisional (pgs.) Notice of Appeal
 Application - Rule 1.53(b) CIP (pgs.) Petition for Extension of Time
 Application - Rule 1.53(d) CPA Transmittal (pgs.) Petition for _____
 Application - Design (pgs.) Postcard
 Application - PCT (pgs.) Power of Attorney (pgs.)
 Application - Provisional (pgs.) Preliminary Amendment (pgs.)
 Assignment and Cover Sheet (Executed) Reply Brief (pgs.)
 Certificate of Mailing (Express Mail) Response to Notice of Missing Parts
 Declaration & POA (5 pgs.) (Executed) Small Entity Declaration for Indep. Inventor/Small Business
 Disclosure Docs & Orig & Copy of Inventor's Signed Letter (pgs.) Transmittal Letter, in duplicate
 Drawings: 11 # of sheets includes 11 figures Fee Transmittal, in duplicate

Other: Copy of Postcard w/Express Mail Stamp.
Request And Certification Under 35 U.S.C. 122(b)(2)(B)(i)